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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JCU2 Rec'd PCT/PTO 22 MAY 2002

#4



In re Application of:

: BOX PCT
: ATTENTION: PCT LEGAL OFFICE

Christian GROSCH, et al.

: International Application No.:
: PCT/NO00/00195

Serial No. 09/980,842 ✓

Filed: December 7, 2001

: International Filing Date:
: June 5, 2000

For: A METHOD AND SYSTEM FOR CONTROLLING A HEATING PLANT

RENEWED SUBMISSION UNDER 37 CFR 1.42

Box PCT

Attention: PCT LEGAL OFFICE

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

In response to a Decision on Papers under 37 CFR 1.42 dated March 27, 2002, copy attached, Applicant submits herewith a Declaration and Power of Attorney Document in compliance with 37 CFR 1.497 (a)-(b). The Declaration identifies Lilian Grosch as the Administrator of the Estate for Jan Grosch, the deceased inventor and includes the Administrator's residence, post office address and citizenship. In addition, the Declaration identifies the former residence, post office address and citizenship of the deceased inventor, Jan Grosch.

The Applicant is not required to pay a petition fee with this submission and receipt thereof is courteously solicited.

The following documents are being submitted concurrently under separate cover:

An Information Disclosure Statement, PTO Form 1449 with 4 References.

06/18/2002 MKAYPAGH 00000009 022135 09980842

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Respectfully submitted,

By

G. Franklin Rothwell
Attorney for Applicants
Registration No. 18,125
ROTHWELL, FIGG, ERNST & MANBECK, p.c.
Suite 800, 1425 K Street, N.W.
Washington, D.C. 20005
Telephone: (202)783-6040

Enclosures: 2

2036-202.decision



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G. Franklin Rothwell
Rothwell, Figg, Ernst, & Manbeck
555 13th Street, N.W.
Washington, D.C. 20004

In re Application of
GROSCH et al.
Application No.: 09/980,842
PCT No.: PCT/NO00/00195
Int. Filing Date: 05 June 2000
Priority Date: 11 June 1999
Attorney Docket No.: 2036-202
For: A METHOD AND A SYSTEM FOR
CONTROLLING A HEATING PLANT

DECISION ON
PAPERS UNDER 37 CFR 1.42

This is a response to the declaration filed 07 December 2001 which is being treated as a request under 37 CFR 1.42. No petition fee is required.

BACKGROUND

On 05 June 2000, applicants filed international application PCT/NO00/00195, which claimed a priority date of 11 June 1999. A copy of the international application was communicated to the United States Patent and Trademark Office from the International Bureau on 21 December 2000. A proper Demand was filed with the International Preliminary Examining Authority electing the United States. The election was made prior to the expiration of nineteen months from the priority date. As a result, the deadline for payment of the basic national fee was extended to expire on 11 December 2001.

On 07 December 2001, applicant filed a transmittal letter for entry into the national stage in the United States accompanied, inter alia, by: the basic national fee; a preliminary amendment; and an executed declaration.

DISCUSSION

37 CFR 1.42 *When the Inventor is Dead*, states, in part:

In case of the death of the inventor, the legal representative (executor, administrator, etc.) of the deceased inventor may make the necessary oath or declaration, and apply for and obtain the patent.

The declaration filed 07 December 2001 is executed by inventor, Christian Grosch, and Lillian Grosch as the "administrator" of the deceased inventor, Jan Grosch. The declaration submitted appears to have been executed by the proper party under 37 CFR 1.42, however, the declaration does not satisfy the requirements under 37 CFR 1.497(b)(2).

37 CFR 1.497(b)(2) states the following:

(2) If the person making the oath or declaration or any supplemental oath or declaration is not the inventor (§§ 1.42, 1.43, or § 1.47) the oath or declaration shall state the relationship of the person to the inventor, and, upon information and belief, the facts which the inventor would have been required to state. If the person signing is the legal representative of a deceased inventor, the oath or declaration shall also state that the person is a legal representative and the citizenship, residence, and mailing address of the legal representative.

Pursuant to revised 37 CFR 1.497(b)(2), in addition to the citizenship and former residence and post office address of the deceased inventor referenced under 37 CFR 1.497(b)(2), the declaration must also provide residence, citizenship, and post office address for the legal representative. The declaration filed on 07 December provides the residence, citizenship, and post office address for only one individual and it is unclear whether this information is for the administrator or the deceased inventor. Accordingly, the declaration is not in compliance with 37 CFR 1.497(b)(2).

CONCLUSION

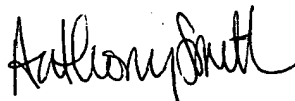
For the above reasons, the request for status under 37 CFR 1.42 is **REFUSED**.

Applicant is required to provide an oath/declaration in compliance with 37 CFR 1.497(a)-(b) within **TWO (2) MONTH** from the mail date of this Decision. Failure to respond will result in the abandonment of the application. Any reconsideration request should include a cover letter entitled "Renewed Submission Under 37 CFR 1.42."

Any further correspondence with respect to this matter should include a cover letter entitled "Renewed Submission Under 37 CFR 1.42" and be addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, with the contents of the letter marked to the attention of the PCT Legal Office.



Boris Milef
PCT Legal Examiner
PCT Legal Office



Anthony Smith
Attorney-Advisor
PCT Legal Office
Tel: (703) 308-6314
Fax: (703) 308-6459